**Project initiation Document**

**Part A : Basic Details**

|  |  |
| --- | --- |
| **Description** | **Information** |
| Name of the Working Group  | Research group on Independence of SAIs |
| Working title of the Document  | **Research paper on the Independence of SAIs** |
| Project aim | * Provide knowledge and insight on the threats to or ways of improvement for SAIs Independence (for SAIs of all kind and maturity) ;
* Produce a guidance on SAI Independence focusing on mitigation actions to all kind of threats and practical ways to develop independence ;
* Provide documentation and suggestions to ultimately review and rewrite the *GUID 9030 – Good practices related to SAI Independence (2007)* under the IFPP supervision
 |
| Project objectives and synopsis | * Constituting a task force with a number of SAIs representing the different models of SAIs
* Reviewing with the research group members, IDI and World Bank all the threats to or ways of improvement for SAI Independence.
* Identifying good practices and *de facto* independence practices (compared to *de jure* independence)
* Identifying the possible review points in the current GUID 9030 in order to ultimately update it
 |
| QA level identified for the Project | Level 2 (possibly Level 1 if *GUID 9030* is to be revised within the IFPP according to amended SDP) |
| Reasons behind the QA level chosen  | External experts to the research group will be contacted and involved in the research project (World Bank - academicians) |
| Explain the quality processes that will be applied in the drafting process, Including involvement of stakeholders external to the WG(if any ), exposure period, pilot testing etc  | The Chair of the research group (SAI France) will produce an analysis of the P*-10 – Mexico Declaration* and provide a first review on the various challenges to SAI Independence.SAI France will also exchange with the IDI and the World Bank on the issue of SAI Independence and work together to include external experts analysis.This review will be circulated to all of the research group members for insights and comments in order to collect feedbacks. An additional document will also be circulated to all members to propose amendments, corrections and updates of the *GUID 9030 – Good practices related to SAI Independence (adopted in 2007)*  to be eventually discussed by IFPP members in the next (or current) SDP.The document will be then sent to KSC for review (*estimated date: beginning 2022*). |
| Project duration | 2,5 years |
| Review schedule of the deliverable; Date for the next review of the product/Period of validity | Autumn 2020: Writing of the review Dec 2020-April 2021: Exchanges with research group members, IDI, the World Bank and other eventual experts on the guidelines for feedbacks.May-September 2021: Writing the guidelines embedding feedbacks – consultation of FIPP about consistency with GUID 9030October-December 2021: Exposure period2022: Due process for adoption of the guidance on SAI Independence in INCOSAI XXIV (Brazil) |
|  |  |
| Key contacts | Name | Email | Office Phone | Business Mobile Phone | Organization / SAI |
| Project Group lead | Mr. Philippe Hayez | Philippe.hayez@ccomptes.fr |  |  | French Cour des comptes |
| Contact person for the Working Group | Mr. Benjamin Negre | Benjamin.negre@ccomptes.fr |  |  | French Cour des comptes |
| Mrs. Margaux Amiel | Margaux.amiel@ccomptes.fr |  |  | French Cour des comptes |
| Other anticipated project team members (list of names and organisations) | Freddy Yves Ndjemba | Freddy.ndjemba@idi.no | 4790247285 |  | INTOSAI Development Initiative  |
| Ahmet AKPINAR (Senior Auditor  | ahmetakpinar@sayistay.gov.tr | 903122953502 |  | SAI Turkey  |
| Hannes Loimer | intosai@rechnungshof.gv.at loimer@rechnungshof.gv.at  | 431711718640 |  | INTOSAI General Secretariat |
| Peter Elbert | Peter.Elbert@brh.bund.de  | 492283071110 |  | SAI Germany  |
| Gereon Ziller | Gereon.Ziller@brh.bund.de  | 492283071331 |  | SAI Germany  |
| Mst. Mahabuba Begum | mahabuba\_chem@yahoo.com | 880248322431 |  | SAI Bangladesh |
| Mukhtar Agha Saeedi | Mukhtar.saeedi@outlook.com | 93789610610 |  | SAI Afghanistan |
| Dr. Giuseppe Musco | revisoregenerale@urg.va  | 390669847500 |  | SAI Vatican City |
| Nominee details awaited |  info@bhutanaudit.gov.bt |   |   | SAI Bhutan |
| Nominee details awaited | rekenkamer@rekenkamer.gov.srinfo@rekenkamer.gov.sr |   |   | SAI Suriname |
| Nominee details awaited | international@bpk.go.id |   |   | SAI Indonesia |
| Nominee details awaited | ufficio.relazioni.internazionali@corteconti.it |   |   | SAI Italy  |
| Nominee details awaited | dnguerra@contraloria.gob.gt, mmalvarado@contraloria.gob.gt |   |   | SAI Guatemala |

**PART B: PROJECT MILESTONES**

|  |  |
| --- | --- |
| **Stage** | **Milestones** |
| 1. | Project proposal | Start Date | End Date | Expected Time in Total | Comments |
| January 2020 | July 2020 | 7 months |  |
| 2. | Exposure draft(QA level 1 & 2) | Start Date | End Date | Expected Time in Total | Comments |
| Autumn 2020 –September 2021 |  | About 12 months |  |
| Exposure period(QA level 2)For QA level 1 mandatory 90 days exposure a must. | Start Date | End Date | Time in Total (not negotiable) | Comments |
| October-December 2021 |  | 45 days | 90 days, if decided for QA level 1 |
| 3 | Final version | Start Date | End Date | Expected Time in Total | Comments |
| January 2022  | May 2022 | 5 months | Final version to be ready for 2022 INCOSAI |