

# ACTIVITY 1: WGEI ADMINISTRATION

By Maxwell P. Ogentho

At

4<sup>th</sup> WGEI members' meeting

27<sup>th</sup> – 29<sup>th</sup> May 2019

# Presentation outline



- Background of WGEI
- Management structure of WGEI and changes
  - WGEI Secretariat
  - Steering Committee
  - Terms of Reference
- Progress of WGEI against INTOSAI objectives
  - WGEI Work Plan (2017 – 2019)
  - Outstanding activities
- Going Forward

# MEMBERSHIP OF WGEI



Egypt



Libya



United States



Trinidad & Tobago



China



Georgia



Ecuador



Lithuania



Lao PDR



Kyrgyz



Niger



Norway



Cyprus



Estonia



Vietnam



Philippines



Argentina



Brazil



Iraq



Mozambique



Swaziland



Sierra Leone



Uganda



Mongolia



Netherlands



Kuwait



Zambia



South Africa



Kenya



Rwanda



India



Ghana



Tanzania



Nigeria



Indonesia



# Background to Operations of Working Groups



- This presentation is intends:
  - To provide members with 6 year evaluation of WGEI performance since inception in 2013
  - Enable members establish whether Working Group is making progress towards intended objective
  - Reflect on the purpose of Working Groups according to INTOSAI as it relates to WGEI especially item 1.2.4 of INTOSAI handbook for committees
  - Provide basis for report on WGEI to XXIII INCOSAI-Moscow, Russia 2019:
  - Prompt members to discuss the future of WGEI

# Introduction of the report

- **Presents holistic picture of Working Group:**
  - Achievements so far made,
  - Challenges being faced,
  - attempt to project the future for WGEI and
  - Call for continued existence of WGEI to enable it accomplish the objectives set for the group by INCOSAI.







# 2<sup>nd</sup> WGEI MEETING- Oslo, Norway 21st-23rd September 2015



- Exposed WGEI members to priority areas of EI for focus of SAIs in the coming years.
- Developed new networks with non SAI EI stakeholders.



INTOSAI Working Group on Audit of Extractive Industries (WGEI)  
3rd Annual Meeting - Mombasa, Kenya  
24th – 26th August 2016  
Pridelnn Paradise Beach Hotel & Spa: Mombasa, Kenya

[FOR MORE INFORMATION - CLICK HERE](#)





## Introduction Cont.'



- WGEI established to “harness knowledge for SAls in the Extractive Industries (EI) sector in order to address governance concerns in countries endowed with extractive resources”.
- Operates under the Knowledge Sharing and Knowledge Services Committee (Goal 3), Chaired by the Comptroller & Auditor General of India.
- The scope cover Oil, Gas and Solid minerals.

# Work Plan (2017 – 2019)



## General Overview of work plan performance

- Work plan has 6 activities : Administration, information sharing, Training, Identify EI audit materials and undertake research, Knowledge and experience sharing, and Networking with stakeholders
- 21 sub-activities in total. Results realised in 18 sub-activities (85% performance)

# WGEI Secretariat



## Head of Secretariat

- Oversees activities of Secretariat
- Monitors implementation of WGEI work plan

## Coordinator CoP

- Issues newsletters (14 issues published to date, 947 Subscribers)
- Collects EI resources (321 resources uploaded, 40 useful links)



# WGEI Secretariat Cont...



- Facilitates exchange and networking among members
- Outreach to stakeholders (including INTOSAI organs)

## ITO WGEI

- Manages WGEI website
- Handles all WGEI IT related matters

## Outstanding Sub-Activities:

1. Mobilizing resources for running secretariat activities (Activity 1)- Efforts made but not successful
2. Piloting e-learning course (Activity 3)- Discussions on-going
3. Undertake research on EI specific subject matter (Activity 4) - Carried forward to new Work plan

## Report on Activity 1:WGEI Administration

S/NO	Sub-Activity	Progress
i	Preparation and organising WGEI annual meetings.	<ul style="list-style-type: none"> <li>Members' meetings adjusted to every 3 years.</li> <li>Current meeting organised</li> </ul>
ii	Organising regular steering committee (SC) meetings.	<ul style="list-style-type: none"> <li>Annual SC meetings held in 2017 and 2018</li> <li>Monthly SC Skype meetings held in 2017 and 2018, adjusted to quarterly in 2019</li> </ul>
iii	Mobilizing resources for running secretariat activities	<ul style="list-style-type: none"> <li>Efforts made but not yet successful. Proposals being developed</li> </ul>
iv	Management of WGEI website	<ul style="list-style-type: none"> <li>Functioning WGEI website, to be migrated to KSC portal</li> </ul>
v	Establish Activity Leaders among the SAI members.	<ul style="list-style-type: none"> <li>Each activity headed by member of the SC</li> </ul>
vi	Establishing and maintain close working relationship with other INTOSAI working groups e.g. WGEA, CBC etc.	<ul style="list-style-type: none"> <li>Working relationship with INTOSAI organs eg CBC, WGEA, AROSAI-E, ARABOSAI</li> </ul>
vii	Reporting	<ul style="list-style-type: none"> <li>Attended and reported to KSC at annual meetings of 2017 and 2018</li> </ul>



# Three year progress of WGEI



- Steady increase in membership
- Fully operational Secretariat based in SAI Uganda. Community of Practice Coordination (COP) office, linking SAIs and external players in the extractive industry.
- Operational steering committee comprising five SAIs: Uganda, Norway, South Africa, Iraq and USA (which replaced Brazil).

# Steering Committee (SC)



SC comprised of 10 members namely: Uganda, South Africa, US, Iraq, Norway, Zambia, Ghana, India, Fiji and Ecuador

## Roles

- Support Chair in management of WGEI Secretariat
- Approve products and documents.
- Lead or co-lead an activity in the WGEI Activity plan
- Represent and advocate for WGEI in their respective regions, and liaise with the regional secretariats.

The SC held annual meetings in 2017 and 2018

## **Resolutions of 2017 meeting:**

- Steering Committee to increase to 10 members
- Annual SC meeting, Tri-annual members' meeting
- WGEI learning task force established
- Working arrangement with INTOSAI regional bodies
- Networking with external stakeholders



## Resolutions of 2018 meeting:

- Update ToRs for WGEI, SC and Coordinator CoP
- EI Audit Training Framework approved
- Support collaborative audit initiatives
- Design a funding plan for WGEI

# Terms of Reference



At the SC meeting of 2018 the Terms of Reference (ToRs) were reviewed and it was resolved to update them. The major changes were:

## WGEI ToRs:

- 3.2 Outcomes: Distinguished between outcomes for Working Group and outcomes for SAIs
- 4.4 Meetings: Elaborated frequency of meetings (both SC and Members)

# Terms of Reference Cont...



- **4.9 Steering Committee: Adjusted from 5 to 10 members. Detailed term of SC members.**

## **SC ToRs**

- **2 Members: Adjusted number of members, stated term of members and elaborated on composition**
- **3 Responsibility: Adjusted to include activity lead and representative and advocate for WGEI in INTOSAI regions**

# Terms of Reference Cont...



- 4 Meetings: Adjusted SC meetings to once every quarter

## Coordinator CoP ToRs

- Minor adjustments to reflect evolution of WGEI



# Progress of WGEI against INTOSAI objectives



Professional Competence

Objectivity

Integrity

S/NO	INTOSAI OBJECTIVE	PROGRESS
1	To remedy lack of audit knowledge by SAIs in the extractive industry	<ul style="list-style-type: none"> <li>• Training in EI undertaken</li> <li>• Available EI resources collected and made available</li> <li>• Benchmarking between members</li> <li>• Non FIPP products being developed</li> </ul>
2	To have one point location where information on audit of extractive industry can be obtained	<ul style="list-style-type: none"> <li>• Community of Practice Office operated by 2 full time staff</li> <li>• Functioning WGEI website</li> </ul>
3	To provide a community for exchange of EI information both for SAIs and non SAIs	<ul style="list-style-type: none"> <li>• Quarterly Newsletter (variety of contributors)</li> <li>• Webinars conducted</li> <li>• Engaging with both Internal and External Stakeholders</li> </ul>

# Going Forward



- Utilise products developed
- Implement new Work plan (2020 – 2022)
- Develop new products based on members' needs
- Solidify working relationship with INTOSAI regions
- Strengthen collaboration with external stakeholders



**Thank you for your attention**



# END



*Thank you for listening*

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