

PROPOSED WGEI ACTIVITY PLAN FOR 2014-2016

Stephen Kateregga
Director of Audit
SAI UGANDA



Deutsche Gesellschaft
für Internationale
Zusammenarbeit (GIZ) GmbH

Development of WGEI Activity Plan (2014-16)

- **Baseline Survey**
- **Draft Terms of Reference**
- **Draft Activity Plan**
- **Comments**
- **Revised Draft Activity Plan**

Development of WGEI Activity Plan (2014-16)

- **Baseline Survey**
- **Draft Terms of Reference**
- **Draft Activity Plan**
- **Comments**
- **Revised Draft Activity Plan**
- **Presentation to Annual Meeting**

SPECIFIC OBJECTIVES OF THE WORKING GROUP

- Establishing A Working Group
- Promoting Transparency, Accountability and Value Chain Creation
- Enhance the SAls ability to undertake audits in the sector and making a difference to the lives of the Citizens taking into account the mandate of SAls
- Creating Awareness around the role of the SAls in the EI
- Engaging with Stakeholders to exchange knowledge and dissemination of audit findings
- Researching and developing guidance in the audit of extractive industries

Objective 1: To establish EI working group

Outcome 1: A functioning EI working group

Indicators	Activity
A functioning secretariat	<ul style="list-style-type: none">• Assigning dedicated staff (2014)• Coordinating WGEI operations (2014-2016)
Adequate funding as approved by INTOSAI	<ul style="list-style-type: none">• Preparing funding budget proposals (2015-2016)• Soliciting funds through INTOSAI (2015-2016)
Approved terms of reference	<ul style="list-style-type: none">• Developing ToRs for WGEI (2014)
An approved work plan	<ul style="list-style-type: none">• Developing an activity plan for EI (2014)• Planning, coordinating and monitoring of group activities (2014-2016)
A functioning website	<ul style="list-style-type: none">• Developing WGEI website (2014)• Maintaining of WGEI website to facilitate sharing and exchange of information (2014-2016)
Annual Meetings held	<ul style="list-style-type: none">• Holding Annual Meetings(2014-2016)

Objective 1: To establish EI working group

Outcome 2: Operational Community of Practice(CoP)

Indicators	Activity
CoP established	<ul style="list-style-type: none">• Identify the SAI to coordinate CoP activities (2014)• Formulate a work plan for CoP to be approved by SC (2014-2016)• Mobilize funding for the CoP activities (2014-2016)

Objective 2: Promote transparency, accountability and value creation in the EI

Outcome 1: Better understanding of the EIs (mandate, openness, transparency, human rights and methodology)

Indicators	Activity
<ul style="list-style-type: none">Increased access to information in Extractive industries	<ul style="list-style-type: none">Create database for fiscal regimes for countries with extractive industries (By June 2015)Maintaining databases (July 2015-2016)Creating a platform and pool of experts (June 2015)Conduct surveys in the various EI areas (2014-2016)
<ul style="list-style-type: none">Increased transparency and accountability	<ul style="list-style-type: none">Sensitization of stakeholders including political leaders(2014-2016)Increase awareness of activities of EITI(2014-2016)Encouraging Governments to subscribe to EITI(2014-2016)

Objective 2: Promote transparency, accountability and value creation in the EI

Outcome 2: Forum for sharing/promoting knowledge, experience and products within and outside WGEI, including with the Regional Working Groups

Indicators	Activity
An active CoP	<ul style="list-style-type: none">• Conducting Workshops for information sharing with all stakeholders(2014-2016)• Creating an information sharing platform for the CoP on the WGEI website, blogs, social media(By June 2015)

Objective 3: To enhance the SAI's ability to undertake audits in the sector and thereby making a difference in the lives of the citizens

Outcome 1: Foundation for a capacity building program on extractive industries

Indicator	Activity
SAI's capacity gaps assessed using established benchmarks	<ul style="list-style-type: none">• Assessing training needs (By June 2015)• Conducting training in areas of mutual interest by WGEI members (2015-2016)• Conducting baseline surveys in SAI's (By June 2015)• Initiating creation of training programs on audit of EI's by INTOSAI (By Dec 2015)• Promote benchmarking activities among SAI's with mutual interest to enhance learning (2014-2016)• Mapping SAI's respective EI and identify key risks in the EI (2014-2016)

Objective 3: To enhance the SAI's ability to undertake audits in the sector and thereby making a difference in the lives of the citizens

Outcome 2: Improved audit coverage in EI (quality, scope, including social impact audits, amount/number of audits)

Indicator	Activity
<p>High quality and numbers of audits in complex fields in the value chain.</p> <p>Upstream (licensing, transfer, pricing and time writing, environment management, local content)</p> <p>Midstream (Pipelines, refinery)</p> <p>Downstream (collection of petroleum revenue in applicable fiscal systems and management of sovereign wealth funds)</p>	<ul style="list-style-type: none">• Initiating joint/collaborative Audits (2014-2016)• Conduct peer reviews by WGEI members (2014-2016)• Disseminating audit reports on EIs by WGEI members (2014-2016)

Objective 4: **To increase awareness on the role of SAIs in EIs**

Outcome: Increased awareness

Indicator	Activity
Website, active blog and social media	<ul style="list-style-type: none">• Conducting annual baseline surveys on awareness of SAIs' role in EI (2014-2016)• Deliberate and continuous dissemination and sensitization on role of SAIs in EI. (2014-2016)• Regular updates of the websites and engaging stakeholders. (2014-2016)

Objective 5: To engage stakeholders in audit-related issues and developments in EI

Outcome: Forum for sharing/promoting knowledge, experience and products within and outside WGEI, including with the Regional Working Groups

Indicator	Activity
Participation in local, regional and global working initiatives(collaborative audits etc)	<ul style="list-style-type: none">• Coordinate regional workshops on EI. (2014-2016)• Establish a working platform for sharing audit-related issues and developments. (2014-2016)
A functioning website-based platform for sharing information	<ul style="list-style-type: none">• Establish a virtual platform for dissemination of audit related issues and developments. (Dec 2014)

Objective 6: To research and develop guidance in the audit of extractive industries

Outcome 1: Published research papers on audit of EI

Indicator	Activity
Sanctioned Research studies/activities	<ul style="list-style-type: none">• Conducting relevant research papers in extractive industries. (2014-2016)• Sharing research papers with other organizations operating in extractive industries. (2014-2016)

Objective 6: To research and develop guidance in the audit of extractive industries

Outcome 2: Guidelines on EI Audits

Indicator	Activity
Approved guidelines	<ul style="list-style-type: none">• Consolidating, documenting and publishing generally accepted audit practices in different SAIs on audit and extractive industries. (2014-2016)• Establishing EI subgroups or taskforces(s) to conduct studies or activities of the working group. (2014-2016)